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## The influence of Carboniferous palaeoatmospheres on plant function: an experimental and modelling assessment

### D. J. Beerling<sup>1\*</sup>, F. I. Woodward<sup>1</sup>, M. R. Lomas<sup>1</sup>, M. A. Wills<sup>1</sup>, W. P. Quick<sup>1</sup> and P. J. Valdes<sup>2</sup>

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Geochemical models of atmospheric evolution predict that during the late Carboniferous, ca. 300 Ma, atmospheric  $O_2$  and  $CO_2$  concentrations were 35% and 0.03%, respectively. Both gases compete with each other for ribulose-1,5-bisphosphate carboxylase/oxygenase—the primary C-fixing enzyme in  $C_3$ land plants—and the absolute concentrations and the ratio of the two in the atmosphere have the potential to strongly influence land-plant function. The Carboniferous therefore represents an era of potentially strong feedback between atmospheric composition and plant function. We assessed some implications of this ratio of atmospheric gases on plant function using experimental and modelling approaches. After six weeks growth at 35%  $O_2$  and 0.03%  $CO_2$ , no photosynthetic acclimation was observed in the woody species Betula pubescens and Hedera helix relative to those plants grown at 21% O<sub>2</sub>. Leaf photosynthetic rates were 29% lower in the high  $O_2$  environment compared to the controls. A global-scale analysis of the impact of the late Carboniferous climate and atmospheric composition on vegetation function was determined by driving a process-based vegetation-biogeochemistry model with a Carboniferous global palaeoclimate simulated by the Universities Global Atmospheric Modelling Programme General Circulation Model. Global patterns of net primary productivity, leaf area index and soil carbon concentration for the equilibrium model solutions showed generally low values everywhere, compared with the present day, except for a central band in the northern land mass extension of Gondwana, where high values were predicted. The areas of high soil carbon accumulation closely match the known distribution of late Carboniferous coals. Sensitivity analysis with the model indicated that the increase in  $O_2$  concentration from 21% to 35% reduced global net primary productivity by 18.7% or by 6.3 Gt Cyr<sup>-1</sup>. Further work is required to collate and map at the global scale the distribution of vegetation types, and evidence for wildfires, for the late Carboniferous to test our predictions.

**Keywords:** Late Carboniferous, general circulation models, photosynthesis, stomata, vegetation models

#### 1. INTRODUCTION

Berner's (1994) geochemical model of the long-term carbon cycle, based on variations in solar radiation, sili-💾 cate and carbonate rock weathering, plate uplift and subduction, and global run-off, predicts large variations in the concentration of atmospheric CO<sub>2</sub> during the past 400 Ma of the Phanerozoic (figure 1). The reconstruction is characterized by high CO<sub>2</sub> concentrations in the Devonian, followed by a reduction to lower-than-present concentrations in the Carboniferous, probably associated with the significant evolution and increased complexity of terrestrial vegetation. After the Carboniferous, the CO<sub>2</sub> concentration is predicted to have increased again in the early Permian and then declined progressively over the past 200 Ma. This pattern of CO<sub>2</sub> change has largely

been independently confirmed by isotopic analyses of marine and terrestrial organic carbon (see review by Berner (1997)) and changes in the stomatal characteristics of plant fossils (McElwain & Chaloner 1996; Beerling 1997a; Beerling & Woodward 1997). Mathematical modelling of the global oxygen cycle, based on rates of burial and weathering of organic carbon and pyrite sulphur, indicates that atmospheric O<sub>2</sub> also varied markedly during the Phanerozoic (figure 1) with values up to 35% in the late Carboniferous (300 Ma). This upper limit may be incompatible with the existence of terrestrial vegetation (Chaloner 1989; Robinson 1989) since experimental data suggest combustion of plant material is likely to occur at 35% O<sub>2</sub> (Watson et al. 1978). However, the experimental approach may not easily relate to the field and so further work is required to help resolve the uncertainties (Robinson 1989).

Taking the outputs of geochemical models at face value, the predicted atmospheric composition for the Late-

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e 1. Variations in atmospheric  $CO_2$  and  $O_2$  over the past Ma predicted by the geochemical model of Berner (1994) Berner & Canfield (1989), respectively.

oniferous would have had a strong impact on the ioning of terrestrial vegetation (Beerling & dward 1997*a*,*b*), particularly the functioning of ribu-1,5-bisphosphate carboxylase/oxygenase (Rubisco), primary C-fixing enzyme in C<sub>3</sub> land plants. Calcuns using the Farquhar et al. (1980) biochemical el of CO<sub>2</sub> assimilation, which accounts for the ive kinetic effects of CO<sub>2</sub> and O<sub>2</sub> on Rubisco ion, indicate that up to 40% of carbon fixed by osynthesis is lost via photorespiration at 35% O<sub>2</sub>, % CO<sub>2</sub> and at a temperature of 25°C. Associated high photorespiration rates may also have been the tional energy costs of repairing damage caused by oxygen species (Raven 1991; Raven et al. 1994).

his paper investigates in more detail possible plantsphere interactions in the Carboniferous using both rimental and modelling approaches. Plants were n experimentally at 35% O<sub>2</sub> and 21% O<sub>2</sub> in rolled environments to investigate short-term acclimaby the photosynthetic system to high  $O_2$  levels. The riment was also designed to test for any O<sub>2</sub> effect on atal development. Carboniferous leaf fossils generally much higher values of stomatal density and index plant fossils from other geological eras (Beerling & dward 1997a). One possible explanation for this obsern is that higher stomatal densities would have ased the diffusion rate of CO<sub>2</sub> into leaves under high onditions and the experiment was used to test whether nt plants responded in this way. The experimental n was also used to test the suggestion that plants of rent evolutionary origins may reflect some effects of  $CO_2/O_2$  ratio under which they evolved (Beerling & dward 1996). This was addressed by making a set of gas exchange measurements on plants from a range of rent evolutionary groups (ginkgos, ferns, cycads and psperms), but grown at the current  $O_2$  concentration. he experimental analyses provide a means of assessing physiological effects of high O<sub>2</sub> concentrations on ts under near constant growth conditions. Extending theme, a broader-scale assessment of the impact of  $O_2$  and a cool Carboniferous climate in general on tation has been made through two modelling experits. In the first, the impact of geographical variations in onal climate on net primary productivity (NPP), leaf

area index (LAI) and soil carbon (Soil C) concentration at 35% O<sub>2</sub> and 0.03% CO<sub>2</sub> was quantified at the global scale using the process-based University of Sheffield Global Vegetation Model (SDGVM) Dynamic (Woodward et al. 1995) coupled to a biogeochemistry model of carbon and nitrogen dynamics in vegetation and soils (Parton et al. 1993). The model was driven with a global palaeoclimatic simulation for the Carboniferous by the Universities Global Atmospheric Modelling Programme (UGAMP) General Circulation Model (GCM) (Valdes & Sellwood 1992; Valdes 1993). In the second modelling experiment, the limitation caused by an increase in atmospheric  $O_2$  content from 21% to 35%  $O_2$ , on the same suite of vegetation characteristics (NPP, LAI and Soil C), is quantified at the global scale.

#### 2. MATERIALS AND METHODS

#### (a) Plant growth in simulated Carboniferous palaeoatmospheres

#### (i) Controlled environment chambers

Plant growth chambers were constructed as shown in figure 2 and are based on the design described by Eissenstat et al. (1993). Four replicate chambers were used for each species and growth environment. Air containing 21% oxygen was supplied via a compressed air line. Air containing 35% oxygen was obtained by supplementing the air line with pure oxygen (BOC) using gas flow meters. The actual concentration of oxygen was calibrated by injecting samples of gas through a gas phase oxygen electrode (Hansatech, Kings Lynn, UK). CO<sub>2</sub> concentration and relative humidity were monitored from chamber outlets with an infrared gas analyser (CIRAS-1 PP systems, Hertfordshire, UK). Reduction in CO<sub>2</sub> concentration brought about by plant photosynthesis or gas dilution were compensated by supplementing the input gas with air containing 2% CO<sub>2</sub> (BOC) using mass flow controllers (Tylan GmbH, Munich, Germany); CO<sub>2</sub> was maintained between 300 and 350 ppm. Air flow into each chamber was controlled at 500 cm<sup>3</sup> min<sup>-1</sup> using needle valves. The relative humidity within the chambers was not controlled and was largely determined by the rate of plant transpiration within the chamber; during the course of the experiment the relative humidity was 65  $\pm$ 5% for over 90% of the time. Sixteen chambers were placed in a controlled environment cabinet (Sanyo Gallenkamp Plc., Leicester, UK), set with a 12 h day/ night photoperiod, an irradiance of  $500 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$  and a 25/15 °C day/night temperature cycle. Plants were watered daily with 40% Long Ashton nutrient solution. Hedera helix were grown from 3 cm shoot cuttings and Betula pubescens from seed in sand in 2 1 pots specifically designed to fit inside the environment chambers. When plants had either germinated or established they were transferred to individual environment chambers. Plants were allowed to grow for six weeks within the chambers prior to analysis. During this period several new leaves developed and these alone were used for subsequent analysis.

#### (ii) Gas exchange measurements

 $H_2O$  and  $CO_2$  were measured using an infrared gas analyser (LCA<sub>3</sub>, Analytical Development Company Ltd,



Figure 2. Schematic diagram of the controlled environment growth chamber.

Hoddesden, UK), equipped with a modified plant leaf cuvette chamber to allow temperature control and illumination and detection of chlorophyll fluorescence (PAM fluorimeter, Heinz Walz GmbH, Effeltrich, Germany) via a fibre optic. Gas composition was controlled by mixing oxygen, nitrogen and air containing 5% CO<sub>2</sub> (British Oxygen Company), using mass flow controllers (Brooks Instruments B.V., Veenendaal, Holland). Air humidity was controlled using a temperature trap and was maintained at  $70 \pm 5\%$  relative air humidity within the leaf chamber. Fully expanded newly developed leaves were used for analysis. Irradiance was maintained at 1120  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and leaf temperature was 25 ± 0.5 °C. For determination of  $A/c_i$  (assimilation/intercellular CO<sub>2</sub> concentration) response curves, CO<sub>2</sub> was decreased progressively from 1200 ppm and for  $A/O_2$  response curves, CO<sub>2</sub> was maintained at 350 ppm and oxygen was progressively increased from 5% v/v. Gas exchange parameters were recorded after 20 min acclimation in each gaseous environment and when chlorophyll fluorescence (monitored continuously) indicated that steady-state photosynthesis had been achieved.

Assimilation, A, versus intercellular CO<sub>2</sub> concentration,  $c_i$ ,  $(A/c_i)$  CO<sub>2</sub> responses curves were constructed across the

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Figure 3. (a) Land-sea mask used in the UGAMP GCM (letters denote the major plates as follows: A=Eurasia, B = Kazakhstan and C = Gondwana; and global patterns of (*b*) mean annual temperature ( $^{\circ}$ C), and (c) mean monthly precipitation (mm) for the Late Carboniferous.

 $CO_2$  range 50–900 ppm using three leaves per individual and two individuals per treatment. Measurements were made under saturating irradiance (1120  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>).  $A/c_{\rm i}$  curves were fitted using the Farquhar *et al.* (1980) biochemical model of CO<sub>2</sub> assimilation, following the approach of Wullschleger (1993).

#### (b) Global modelling of plant function during the Carboniferous

This section provides a basic description of the SDGVM and the UGAMP GCM used in the global Carboniferous simulations.

#### (i) University of Sheffield Dynamic Global Vegetation Model (SDGVM)

The SDGVM builds on a previously published land primary productivity and phytogeography model (Woodward et al. 1995). The model only requires climatic and soils input data. The SDGVM now incorporates the Century model of carbon and nitrogen cycling (Parton et 993) so that the soils input data are now only soil ire.

the model, LAI is the primary output. The model plates the appropriate annual LAI, of any site or , which is that at which either vegetation evapotrantion just exceeds annual precipitation, or the lowest layer in the canopy has an annual net primary uctivity of equal to or just less than zero. Plant litter the vegetation is decomposed in the various routines the century model. Overall, decomposition is deterd by temperature and litter water content and ity (lignin to nitrogen ratio). Modified versions of the ury model's sub-models of soil evaporation and snow mics are also included in the SDGVM.

Pie SDGVM calculates annual NPP and LAI of terresvegetation and the underlying soil nutrient status a prescribed climate. Details of the model testing its application to palaeoclimate data sets are in press rling & Woodward 1997*b*; Beerling *et al.* 1997, 1998).

General circulation model Carboniferous palaeoclimate simulation he climate simulation used to drive the SDGVM is d on a version of the UGAMP GCM, and includes a a range of processes. The basic starting point for all models is Newton's laws of motion. However, these tions can only be solved on a finite grid, and processes h are sub-grid scale have to be estimated (parameter-. The UGAMP GCM includes a full range of meterizations, including a full radiation scheme h seasonal and diurnal variations), a relative humidity d cloud scheme, and a simple three-layer soil model. tation is not explicitly represented but its effects on albedo and surface roughness are included. A fuller ription of the model can be found in Valdes *et al.* 5).

ne aspect of the model which is important to note is it has an approximately 50% higher resolution than other GCMs used for palaeoclimate simulations. horizontal grid that the model uses is approximately orm and is  $3.75^{\circ} \times 3.75^{\circ}$ , and there are 19 levels in the cal. This extra resolution is important since it better esents the coastlines, and mid-latitude topographic not meteorological) depressions. This latter feature is ntral importance for a good simulation of climate in ; regions.

he UGAMP climate model (Valdes & Sellwood 1992; es 1993) has been used to derive global predictions of Carboniferous palaeoclimate in the Westphalian 1205 Ma) at a spatial resolution of  $3.75^{\circ} \times 3.75^{\circ}$ . This val was near the peak of the late Carboniferous ation, although there is sedimentary evidence for al-interglacial fluctuations at around this time zke 1990). Continental positions were those used by vley & Baum (1994); other significant boundary itions were a 3% lower solar luminosity than the ent day, an atmospheric CO<sub>2</sub> concentration of opm (Berner 1994) and orbital configurations of the tocene interglacials (Berger 1978). The UGAMP el does not explicitly model the oceans but instead prescribed sea surface temperatures based on simple gy balance model results. These sea surface temperaare energetically consistent with the choice of  $CO_{2}$ solar constant. The model was integrated for five



Figure 4. The response of photosynthesis (A) to changes in the intercellular CO<sub>2</sub> concentration ( $c_i$ ) of leaves of B. pubescens grown for six weeks at 35% O<sub>2</sub> ( $\bigcirc$ ) and 21% O<sub>2</sub> ( $\bigcirc$ ). Measurements were made at 21% O<sub>2</sub>. Curves were fitted as described in the text. For 35% O<sub>2</sub> grown plants,  $V_{\text{max}} = 74.7 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ,  $\mathcal{J}_{\text{max}} = 176.2 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ; for 21% O<sub>2</sub> grown plants,  $V_{\text{max}} = 71.8 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ,  $\mathcal{J}_{\text{max}} = 168.4 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ .



Figure 5. The response of leaf photosynthesis of *B. pubescens* (*A*) and intercellular  $CO_2$  concentration ( $c_i$ ) to a range of different  $O_2$  concentrations for plants grown at 21% and 35%  $O_2$ . Symbols as in figure 4.

years and the last two years averaged to produce the 'Carboniferous climate' consisting of monthly values of precipitation, temperature and humidity.

The land-sea mask used for the late Carboniferous is given in figure 3a, with the major plates as labelled. The GCM-derived global temperature and precipitation driving data for the SDGVM, summarized as average monthly values (figure 3b,c), show a warm equatorial temperature band, particularly over the land masses of Gondwana and southern Kazakhstan and a general cooling towards higher latitudes with sub-zero temperatures near the poles and on the edges of the land masses

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Figure 6. Comparison of observed rates of leaf photosynthesis (symbols as in figure 4), reported in figure 5, with values predicted by the Farquhar *et al.* (1980) biochemical model of leaf CO<sub>2</sub> assimilation. The dashed line indicates perfect fit between observations and models. (*a*) 35% O<sub>2</sub>; (*b*) 30% O<sub>2</sub>; (*c*) 25% O<sub>2</sub>; (*d*) 20% O<sub>2</sub>; (*e*) 15% O<sub>2</sub>; (*f*) 10% O<sub>2</sub>; (*g*) 5% O<sub>2</sub>.

of Eurasia in the northern hemisphere and Gondwana in the southern hemisphere (figure 3b). These features are consistent with the geological evidence for southern hemisphere high latitude glacial episodes during the Westphalian (see data summarized by Crowley & Baum (1994)). The global precipitation pattern is generally quite heterogeneous but with the largest gradients occurring in the central equatorial regions (figure 3c). Overall, the climatic picture in the Carboniferous is one of much cooler temperatures than the present day, with bands of higher than current average precipitation.

#### 3. RESULTS AND DISCUSSION

#### (a) Effects of 35% O<sub>2</sub> on leaf gas exchange and morphology

Measurements of photosynthetic rates (A), made at 21%  $O_2$ , on newly expanded leaves of *B. pubescens* after six weeks growth in both 35% and 21% O<sub>2</sub>, showed no differences in responses to a range of intercellular CO<sub>2</sub> concentrations  $(c_i)$  (figure 4). A similar lack of  $O_2$  effect was also apparent from the light response curves (data not shown). The similarity of the  $A/c_i$  curves from the two sets of plants, obtained under a common  $O_2$  concentration, indicates a lack of acclimation in the photosynthetic systems of these plants. Leaf photosynthesis and intercellular CO<sub>2</sub> concentrations also showed no differences between ambient and elevated O<sub>2</sub> plants in their responses to a wide range of 5  $O_2$  concentrations (figure 5), although leaf photosynthetic rates at 35%  $O_2$  were 29% lower than those at 21% O<sub>2</sub>-a result in agreement with expectations based on Rubisco  $CO_2-O_2$  competition. The similarity of both groups of plants provides further confirmation of a lack of acclimation in the short-term and is in agreement with previous growth experiments at high O<sub>2</sub> concentrations (Quebedaux & Hardy 1975; Quebedaux & Chollet 1977). Summarizing the available data on plant-O<sub>2</sub> responses, Raven et al. (1994) noted that generally photosynthetic reductions are translated into plant dry mass reductions-



Figure 7. Photosynthetic responses of a range of different plant groups to changes in  $O_2$  concentration. Species were as follows: birch, *Betula pubescens*; tobacco, *Nicotiana tabacum*; cycad, *Cycas revoluta*; gingko, *Ginkgo biloba*; fern 1, *Cyrtomium falcatum*; fern 2, *Polypodium vulgare*.

a suggestion tested by the modelling described in the next section.

The lack of acclimation in plants grown at 35%  $O_2$ allows us to test the capability of the Farquhar *et al.* (1980) biochemical model of  $CO_2$  assimilation at predicting leaf gas exchange responses to variations in  $O_2$  concentration. Using the rates of maximum electron transport ( $\mathcal{J}_{max}$ ) and carboxylation ( $V_{max}$ ) derived from the  $A/c_i$  response curve analysis (figure 4), leaf photosynthetic rates reported in figure 5 were predicted for both ambient and elevated  $O_2$ grown plants. The results (figure 6) indicate the excellent capability of the model to predict quantitatively leaf photosynthetic responses to  $O_2$  variations. This  $CO_2$  fixation model is embedded within the SDGVM and so the favourable comparison improves our confidence in this aspect of the global scale predictions of vegetation function, discussed in the next section.

We investigated further the responses of CO<sub>2</sub> assimilation to variations in the atmospheric  $O_2$  concentration in a wide range of species from several evolutionary taxonomic groups (ferns, cycads, ginkgos, and angiosperms) grown at an ambient  $O_2$  concentration of 21%. These measurements test the suggestion that those groups evolving under periods of high  $O_2$  and low  $CO_2$ concentrations may have photosynthetic systems with differential sensitivities to O<sub>2</sub> (Beerling 1994; Beerling & Woodward 1996), possibly due to differences in their Rubisco specificity factors (Tolbert et al. 1995). A similar carry-over of these palaeoatmospheric signatures has also been suggested with respect to absolute values of stomatal density (Beerling & Woodward 1996), chemical composition (Robinson 1991) and light-saturated rates of photosynthesis (Long et al. 1993; Beerling 1994). Our new measurements indicate that the more ancient taxa were less sensitive to changes in the atmospheric  $O_2$  concentration than the two more recently evolved species we investigated (figure 7). In addition, chlorophyll fluorescence measurements made at the same time and on the same species suggested that the ferns, cycads and ginkgo were more able to use O2 as an electron acceptor than the modern B. pubescens and T. nicotiana.

Stomatal index counts (the ratio of stomata to epidermal cells) made on fresh fully expanded leaves of

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re 8. Stomatal index changes in leaves of *B. pubescens* and  $\bigcirc$  *ilix* which developed at 35% and 21% O<sub>2</sub>, respectively.

*ibescens* and *H. helix* grown at 35%  $O_2$  show slightly er values than those of leaves grown at 21%, esting a possible influence of  $O_2$  on stomatal initiation re 8). It is interesting to note that the fossil record of atal density indicates that plants tended to have the est densities of the past 400 Ma during the Carbonis. One interpretation of these high values is that they ved greater photosynthetic capacity, and high rates of diffusion into the leaf to replenish CO<sub>2</sub> depletion e the leaf due to photosynthesis (Beerling & Wood-1 1997a,b). This would have the effect of reducing orespiratory losses at high O2 concentrations by ving the intercellular CO<sub>2</sub> concentration to increase.  $b_2$  could influence stomatal development, then an spheric  $O_2$  content of 35% may have driven the tion towards plants with a higher stomatal density. lst these new data are intriguing, further work is ired to determine the impact of O<sub>2</sub> on stomatal develent and operation across a wider range of species.

#### Flobal pattern of terrestrial vegetation productivity and function in the Carboniferous

edicting vegetation function under a Carboniferous ate and atmosphere, using the SDGVM driven by GCM-derived palaeoclimate data set (figure 3b,c), les derivation of the equilibrium model solutions of LAI and soil C (figure 9). The general pattern of LAI, NPP and soil C all tend to mirror each other a band of high values for each variable through nern Gondwana. Patterns of NPP and LAI tend to the driving climate data set, particularly precipita-(figure 3). In almost all other areas of the exposed surface terrestrial productivity and LAI values are low. LAI was ca. 4-5 and maximum NPP values  $-6 t C ha^{-1} yr^{-1}$ . Global NPP at 35% O<sub>2</sub> was  $GtCyr^{-1}$ . Soil C concentrations show very high es throughout Eurasia, the northern part of Kazakhand through the northern extension of Gondwana re 9). This build-up in soil C is due to a combination igh precipitation and cool temperatures reducing mposition rates in these regions. The global pattern is cellent agreement with the distribution of Carboniis coals (Crowley & Baum 1994). Model predictions gh soil C concentration in large areas of the southern



Figure 9. Global equilibrium patterns of (a) LAI, (b) NPP (t C ha<sup>-1</sup> yr<sup>-1</sup>), and (c) soil C (kg C m<sup>-2</sup>), predicted by the SDGVM when driven by the UGAMP Late Carboniferous palaeoclimate data set.

margins of Gondwana are unlikely to have been realized by vegetation in the Carboniferous because of the presence of ice sheets in these regions—as indicated by the presence of abundant tilites (Crowley & Baum 1994).

A second model run was conducted using the same palaeoclimate data set but with a present-day  $O_2$  concentration of 21%, in order to assess the extent to which 35%  $O_2$  was limiting vegetation function. The difference between the 21% and 35%  $O_2$  runs represents the effects of  $O_2$  on the structural and/or functional attribute under investigation. A positive difference indicates a limitation at 35%  $O_2$ . In general, the globally mapped differences are positive indicating that the high  $O_2$  content was limiting LAI, NPP and soil C (figure 10). The limitation on NPP and LAI occurs mainly through the representation of the effects of  $O_2$  on Rubisco function in the leaf gas exchange sub-model. Any change in LAI results from changes in the rates of  $CO_2$  fixation and loss of water via transpiration.



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Figure 10. The impact of a Late Carboniferous rise from 21% to 35%  $O_2$  on the global patterns of (*a*) LAI, (*b*) NPP (t C ha<sup>-1</sup> yr<sup>-1</sup>) and (*c*) soil C (kg C m<sup>-2</sup>) concentration. Maps show the difference between vegetation model runs at 21%  $O_2$  and 35%  $O_2$ .

At 21%  $O_2$ , canopy conductance (stomatal conductance of each layer in the canopy summed) is typically higher than at 35%  $O_2$ , because of higher photosynthetic rates and lower intercellular  $CO_2$  concentrations. Higher canopy conductances lead to higher rates of canopy transpiration, under the same climate, but as long as this is less than or balances the available precipitation, the LAI increases in concert with  $CO_2$  assimilation. This response is most typical of the model results (figure 10). In some areas LAI and NPP show small decreases at 21%  $O_2$  relative to 35%  $O_2$  (figure 10), due to increases in evapotranspiration.

Global NPP at 21%  $O_2$  was 40 Gt C yr<sup>-1</sup>, 18.7% greater than under the Carboniferous high  $O_2$  atmosphere. (a)



0.0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0 (b)



0.0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0

Figure 11. Global pattern of annual fire probability in the Late Carboniferous, calculated from the relative water content of the litter layer, from the equilibrium solution of the SDGVM in (*a*) using equation (1), and (*b*) with  $O_2$  effects using equation (2).

Generally, higher soil C concentrations at 21%  $O_2$  concentration occur because of the greater accumulation of litter from the more productive vegetation. Analysis on a pixel by pixel basis shows that the magnitude of the NPP changes at 21%  $O_2$  were climatically dependent (i.e. sites with a favourable climate show the greatest response to a decrease in  $O_2$  content).

In the final global scale analysis, the relative water content of the litter layer in the model was used to predict the annual probability of fires. Two key features are critical in determining the occurrence of fires, litter dryness and litter quantity (Johnson & Gutsell 1994). A very simple approach has been taken to modelling fires in the SDGVM based on litter water content. The water content of the leaf litter, on the soil surface, is first predicted. The relationship between litter water content (lwc,%) and the probability of fire ( $p_{fl}$ ) has been determined empirically, for the present day climate and atmospheric composition, by correlating model predictions of litter water content and fire intervals at particular sites and regions for which there are published data (Archibold 1995), and is described by:

$$p_{\rm f1} = 1 - e \left[ \frac{-1}{0.4 \times e(lwc \times 0.071)} \right]$$
 (1)

Global scale predictions of fire intervals have then been made over the 1970 to 1980 period and successfully tested against observations from a separate source (Olson 1981). he experiments of Watson *et al.* (1978) however ate that the probability of ignition for a natural fuel ce is also dependent upon the atmospheric  $O_2$  concenon. Therefore equation (1) has been empirically ified to include the effects of 35%  $O_2$  ( $p_f(35\% O_2)$ ) c the data of Watson *et al.* (1978) giving:

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$$p_{\rm f}(35\%{\rm O}_2) = 1.261 \times p_{\rm f1}^{0.314}$$
 (2)

al maps of the annual probability of fire in the soillayer for the Carboniferous using the SDGVM litter r content output and either equation (1) or (2) are  $\searrow$  1 in figure 11. Where O<sub>2</sub> effects are excluded (equation fire probabilities are uniformly rather low (0.1-0.2)translate into the prediction of a fire once every *ca*. 5– ears. This fire regime is predicted in regions of low () all (figure 3) but with adequate amounts of litter.  $\bigcirc$  n the direct effects of O<sub>2</sub> are included the geograal extent and the probability of fire increases (figure However, it should be noted that the model only icts fires in the soil litter layer, lightening ignition of ge, rather than leaf litter, is not considered. The ence of swamp vegetation in regions with poor draiwould obviously preclude the possibility of extensive In addition, we make no predictions of the proporof a given pixel which would burn or the length of a fire may continue. Nevertheless, the result from simple fire modelling exercise is that the majority of and surface with vegetation would have been likely perience fires on a fairly frequent basis (every 3–6 s), allowing the development of vegetation with a lifespan in these regions, although in some swamp ons the frequency is much less than this and we would ct the establishment of more mature vegetation in areas. Other areas with reasonable NPP values re 9), but low rainfall, are predicted to experience an st annual regime. The results appear therefore to go way towards resolving the incompatibility of the  $O_2$  prediction of Berner & Canfield's (1989) hemical model and the experimental results of on et al. (1978).

pmpilation, by global mapping, of different vegetation s at 300 Ma, as deduced from fossils (cf. DiMichele & ips 1994), and the occurrence of fossil evidence for fire, in the form of fusain (Robinson 1991; Scott & s 1994) would provide a valuable basis for assessing tility of the fire probability map, NPP and LAI maps.

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The probably high atmospheric  $O_2$  and low  $CO_2$ entrations of the Carboniferous created a unique ition for the terrestrial biosphere. These unusual pspheric conditions, in addition to a return to cool ates exerted severe limitations on the vegetation. The  $CO_2$  concentration will have severely limited photonetic rates and any increases in atmospheric  $O_2$ entration above the current ambient will have tly reduced photosynthetic rates (figure 5) through ases in the rates of photorespiration. The likely plant pnses to these limiting conditions appear to be the lopment of very high stomatal densities (Beerling & dward 1996), which will have maximized  $CO_2$  concentrations within the intercellular spaces of leaves. It is interesting to note that both low CO<sub>2</sub> concentrations (Woodward 1987) and high O<sub>2</sub> concentrations (figure 8) have the capacity to increase stomatal density, and therefore diffusive capacity into the leaf. Photosynthetic rate is reduced directly with increases in O<sub>2</sub> concentration (figures 5 and 7) and although acclimation of Rubisco would diminish this effect, it is again interesting to note that evolutionary ancient species are more able to use  $O_2$ as an electron acceptor than modern species. At the global scale, terrestrial productivity in the Carboniferous is generally limited by the 35% O<sub>2</sub> concentration, even with a cool climate. Vegetation function, in terms of transpiration, would also have been affected with a feedback on climate. Such feedbacks on climate have not yet been included within GCMs (Beerling 1997b) and represent a necessary next stage for improved palaeoclimate modelling.

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#### Discussion

M. TESTER (*Plant Sciences, Cambridge, UK*). Could increased  $O_2$  cause increased sensitivity of plants to low water supply, via increased free radical production, and thus increase the effects you have already described of  $O_2$  on vegetation?

D. J. BEERLING. As far as I am aware, there have been no studies dealing with the possible effects of above ambient atmospheric  $O_2$  concentrations conferring drought tolerance in plants. However, the lower assimilation rates of plants at high  $O_2$  would increase intercellular  $CO_2$  concentrations and lower leaf stomatal conductance. If this effect was sustained for a suitable period during plant growth under limited water supply then lower transpiration rates, for a given temperature, humidity and irradiance, would ensue with the possible consequence of increased 'drought tolerance'. The role of free radicals in contributing or mediating such a response remains uncertain.

A. C. SCOTT (Geology Department, Royal Holloway University of London, UK). Your models referred to Carboniferous vegetation. It is important to note the striking difference in Lower and Upper Carboniferous vegetation (Scott & Galtier 1996; Scott 1979) and most of your models refer to later Carboniferous systems. Your fire frequency models are interesting but are not constrained by field data. We have noted changes in the nature and effects through the Carboniferous (Scott & Jones 1994). Lower Carboniferous fires in the tropics appear to be few, but widespread and intense when they occur. In contrast, fires within the Upper Carboniferous mire systems occur very frequently and appear to be an integral part of the ecosystem development. We need, however, more observations on late Carboniferous (as opposed to Permian) mire systems outside the equatorial belt before your proposed model can be tested. It may be dangerous to predict fire frequencies based upon models developed for recent systems in that not only, as you have pointed out, the oxygen content of the atmosphere was likely to be much higher but also the plant growth strategies (fast growing cheaply constructed trees lacking significant secondary xylem) of the main peat-forming plants, the arborescent lycophytes, were quite different from modern plants (DiMichele & Phillips 1994, 1995).

D. J. BEERLING. The rather simple fire frequency model we have described is entirely derived and tested against field observations and has been specifically adapted to include the influence of 35% O<sub>2</sub> on the probability of litter ignition, the latter based on experimental data. Furthermore, the models represent the first step towards assessing the probability of fire in the Carboniferous at the global scale and the results link many ecosystem processes driven by the Carboniferous palaeoclimate simulations. Our fire probability maps specifically deal only with litter ignition since this a standard model output; extrapolation to calculate the probability of ignition for living foliage of more ancient plant groups is not possible without more experimental data. The challenge now is for palaeobotanists to map, at the global scale, the geographical incidence of major fossil fire, within the narrow stratigraphic range of the late Carboniferous, for comparison with the model predictions.

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